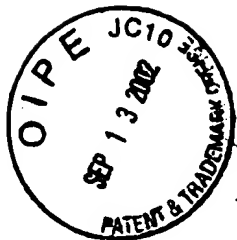


Rev. 04/01

Docket No. UV-43



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#11  
W. Lawson  
9/20/02

Applicants : Michael D. Ellis et al.

Application No.: 09/034,934 Confirmation No.: 4014

Filed : March 4, 1998

For : PROGRAM GUIDE SYSTEM WITH  
PREFERENCE PROFILES

Group Art Unit : 2611

Examiner : Kieu Oanh T. Bui

Hon. Commissioner  
for Patents  
P.O. Box 2327  
Arlington, VA 22202

RECEIVED  
SEP 19 2002  
Technology Center 2600

TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted more than three months from the application filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311.

In accordance with 37 C.F.R. § 1.97, this Statement is accompanied by:

09/16/2002 HUUONG1 00000110 09034934

01 FC:126

180.00 OP

[ ] a certification as required under 37 C.F.R.  
§ 1.97(e)(1) or § 1.97(e)(2);

[X] the fee as set forth in 37 C.F.R. § 1.17(p).

The Director is hereby authorized to charge  
payment of any additional fees required in connection with  
the accompanying Information Disclosure Statement, or credit  
any overpayment, to Deposit Account No. 06-1075. A  
duplicate copy of this letter is transmitted herewith.


Respectfully submitted,

I hereby Certify that this  
Correspondence is being  
Deposited with the U.S.  
Postal Service as First  
Class Mail in an Envelope  
Addressed to:  
HON. COMMISSIONER FOR PATENTS,  
Washington, D.C. 20231 on:

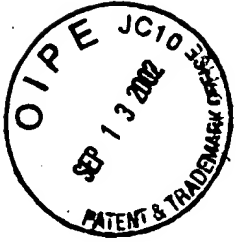
9/6/02  
Date of Deposit

Matt Caratto  
Matt Caratto

9/6/02  
Date of Signature

  
G. Victor Treyz  
Registration No. 36,294  
Attorney for Applicants

FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (650) 617-4000



UV-43

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Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, applicants wish to call the attention of the Examiner to the document listed on the accompanying Form PTO-1449 (submitted in duplicate). A copy of the document is enclosed herewith.

This statement is submitted after the mailing date of the first Office Action on the merits but before the mailing date of a final Office Action or a Notice of Allowance.

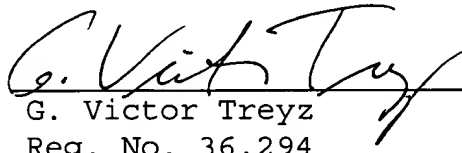
Accordingly, applicants submit herewith the required fee under  
37 C.F.R. §§ 1.97 (c) and 1.17 (p).

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully submitted,

I hereby Certify that this  
Correspondence is being  
Deposited with the U.S.  
Postal Service as First  
Class Mail in an Envelope  
Addressed to:  
HON. COMMISSIONER FOR PATENTS,  
Washington, D.C. 20231 on:

9/6/02  
Date of Deposit  
m-h  
Matt Caretto  
9/6/02  
Date of Signature

  
G. Victor Treyz  
Reg. No. 36,294  
Attorney for Applicants

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New York, New York 10020-1104  
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